

Mississippi Ethics Commission 146 East Amite Street, Jackson, MS 39201

Tom Hood

AGENCY ADDRESS CHIEF EXECUTIVE OFFICER

	Actual Expenses FY Ending June 30, 2009	Estimate Expenses FY Ending June 30, 2010	Requested for FY Ending June 30, 2011	Requested Increase (+) or Decrease (-) FY 2011 vs. FY 2010 (Col. 3 vs. Col. 2)	
				AMOUNT	PERCENT
I. A. PERSONAL SERVICES					
1. Salaries, Wages & Fringe Benefits (Base)	459,047	492,432	592,432		
a. Additional Compensation					
b. Proposed Vacancy Rate (Dollar Amount)					
c. Per Diem	2,720	3,840	3,840		
Total Salaries, Wages & Fringe Benefits	461,767	496,272	596,272	100,000	20.15%
2. Travel					
a. Travel & Subsistence (In-State)	13,485	8,650	10,000	1,350	15.60%
b. Travel & Subsistence (Out-of-State)	405	1,350	1,500	150	11.11%
c. Travel & Subsistence (Out-of-Country)					
Total Travel	13,890	10,000	11,500	1,500	15.00%
B. CONTRACTUAL SERVICES (Schedule B):					
a. Tuition, Rewards & Awards		480	480		
b. Communications, Transportation & Utilities	564	278	300	22	7.91%
c. Public Information					
d. Rents	43,005	43,005	60,741	17,736	41.24%
e. Repairs & Service	3,011	3,188	3,700	512	16.06%
f. Fees, Professional & Other Services	5,912	7,412	9,651	2,239	30.20%
g. Other Contractual Services	1,189	1,689	1,689		
h. Data Processing	10,723	79,608	14,440	(65,168)	(81.86%)
i. Other					
Total Contractual Services	64,404	135,660	91,001	(44,659)	(32.91%)
C. COMMODITIES (Schedule C):					
a. Maintenance & Construction Materials & Supplies					
b. Printing & Office Supplies & Materials	4,434	1,429	3,650	2,221	155.42%
c. Equipment, Repair Parts, Supplies & Accessories	3,150	4,931	5,900	969	19.65%
d. Professional & Scientific Supplies & Materials					
e. Other Supplies & Materials	1,967	2,640	2,680	40	1.51%
Total Commodities	9,551	9,000	12,230	3,230	35.88%
D. CAPITAL OUTLAY:					
1. Total Other Than Equipment (Schedule D-1)					
2. Equipment (Schedule D-2):					
b. Road Machinery, Farm & Other Working Equipment					
c. Office Machines, Furniture, Fixtures & Equipment	7,264				
d. IS Equipment (Data Processing & Telecommunications)					
e. Equipment - Lease Purchase					
f. Other Equipment					
Total Equipment (Schedule D-2)	7,264				
3. Vehicles (Schedule D-3)	15,800		10,000	10,000	
4. Wireless Comm. Devices (Schedule D-4)	250				
E. SUBSIDIES, LOANS & GRANTS (Schedule E):					
TOTAL EXPENDITURES	572,926	650,932	721,003	70,071	10.76%
II. BUDGET TO BE FUNDED AS FOLLOWS:					
Cash Balance-Unencumbered					
General Fund Appropriation (Enter General Fund Lapse Below)	572,926	596,412	721,003	124,591	20.89%
State Support Special Funds		54,520		(54,520)	(100.00%)
Federal Funds _____ Other Special Funds (Specify) _____					
Less: Estimated Cash Available Next Fiscal Period					
TOTAL FUNDS (equals Total Expenditures above)	572,926	650,932	721,003	70,071	10.76%
GENERAL FUND LAPSE	31,714				
III. PERSONNEL DATA					
Number of Positions Authorized in Appropriation Bill					
a.) Full Perm	8	8	9	1	12.50%
b.) Full T-L					
c.) Part Perm.					
d.) Part T-L					
Average Annual Vacancy Rate (Percentage)	0.95	0.97	1.00	0.03	
a.) Full Perm					
b.) Full T-L					
c.) Part Perm.					
d.) Part T-L					

Approved by: Tom Hood, Executive Director
 Official of Board or Commission

Budget Officer: Tom Hood / thood@ethics.state.ms.us

Phone Number: 601-359-1285

Submitted by: Tom Hood
 Name

Title: Executive Director

Date: August 19, 2009

REQUEST BY FUNDING SOURCE

Name of Agency Mississippi Ethics Commission

Specify Funding Sources As Shown Below	FY 2009 Actual Amount	% Of Line Item	% Of Total Budget	FY 2010 Estimated Amount	% Of Line Item	% Of Total Budget	FY 2011 Requested Amount	% Of Line Item	% Of Total Budget
1. General _____ State Support Special (Specify) _____	461,767	100.00%		496,272	100.00%		596,272	100.00%	
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Salaries	461,767		80.59%	496,272		76.24%	596,272		82.70%
1. General _____ State Support Special (Specify) _____	13,890	100.00%		10,000	100.00%		11,500	100.00%	
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Travel	13,890		2.42%	10,000		1.53%	11,500		1.59%
1. General _____ State Support Special (Specify) _____	64,404	100.00%		81,140	59.81%		91,001	100.00%	
2. Budget Contingency Fund				54,520	40.18%				
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Contractual	64,404		11.24%	135,660		20.84%	91,001		12.62%
1. General _____ State Support Special (Specify) _____	9,551	100.00%		9,000	100.00%		12,230	100.00%	
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Commodities	9,551		1.66%	9,000		1.38%	12,230		1.69%

REQUEST BY FUNDING SOURCE

Name of Agency Mississippi Ethics Commission

Specify Funding Sources As Shown Below	FY 2009 Actual Amount	% Of Line Item	% Of Total Budget	FY 2010 Estimated Amount	% Of Line Item	% Of Total Budget	FY 2011 Requested Amount	% Of Line Item	% Of Total Budget
1. General _____ State Support Special (Specify) _____									
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Other Than Equipment									
1. General _____ State Support Special (Specify) _____	7,264	100.00%							
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Equipment	7,264		1.26%						
1. General _____ State Support Special (Specify) _____	15,800	100.00%					10,000	100.00%	
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Vehicles	15,800		2.75%				10,000		1.38%
1. General _____ State Support Special (Specify) _____	250	100.00%							
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Wireless Comm. Devices	250		0.04%						

REQUEST BY FUNDING SOURCE

Name of Agency Mississippi Ethics Commission

Specify Funding Sources As Shown Below	FY 2009 Actual Amount	% Of Line Item	% Of Total Budget	FY 2010 Estimated Amount	% Of Line Item	% Of Total Budget	FY 2011 Requested Amount	% Of Line Item	% Of Total Budget
1. General _____ State Support Special (Specify) _____									
2. Budget Contingency Fund									
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
Total Subsidies, Loans & Grants									
1. General _____ State Support Special (Specify) _____	572,926	100.00%		596,412	91.62%		721,003	100.00%	
2. Budget Contingency Fund				54,520	8.37%				
3. Education Enhancement Fund									
4. Health Care Expendable Fund									
5. Tobacco Control Fund									
6. ARRA - Education, Disc., FMAP									
7.									
8. Federal _____ Other Special (Specify) _____									
9.									
10.									
11.									
12.									
TOTAL	572,926		100.00%	650,932		100.00%	721,003		100.00%

SPECIAL FUNDS DETAIL

Mississippi Ethics Commission
Name of Agency

S. STATE SUPPORT SPECIAL FUNDS		(1) Actual Revenues FY 2009	(2) Estimated Revenues FY 2010	(3) Requested Revenues FY 2011
Source (Fund Number)	Detailed Description of Source			
Budget Contingency Fund (3094)	BCF - Budget Contingency Fund		54,520	
Education Enhancement Fund	EEF - Education Enhancement Fund			
Health Care Expendable Fund	HCEF - Health Care Expendable Fund			
Tobacco Control Fund	TCF - Tobacco Control Fund			
ARRA - Education, Discretionary, FMAP	ARRA - Education, Discretionary, FMAP			
Section S TOTAL			54,520	

A. FEDERAL FUNDS*		Percentage Match Requirement		(1) Actual Revenues FY 2009	(2) Estimated Revenues FY 2010	(3) Requested Revenues FY 2011
Source (Fund Number)	Detailed Description of Source	FY 2010	FY 2011			
	Cash Balance-Unencumbered					
Section A TOTAL						

B. OTHER SPECIAL FUNDS (NON-FED'L)		(1) Actual Revenues FY 2009	(2) Estimated Revenues FY 2010	(3) Requested Revenues FY 2011
Source (Fund Number)	Detailed Description of Source			
	Cash Balance-Unencumbered			
Section B TOTAL				

Section S + A + B TOTAL			54,520	
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C. TREASURY FUND/BANK ACCOUNTS*			(1) Reconciled Balance as of 6/30/09	(2) Balance as of 6/30/10	(3) Balance as of 6/30/11
Name of Fund/Account	Fund/Account Number	Name of Bank (If Applicable)			

* Any non-federal funds that have restricted uses must be identified and narrative of restrictions attached.

**NARRATIVE OF SPECIAL FUNDS DETAIL
AND TREASURY FUND/BANK ACCOUNTS**

Mississippi Ethics Commission

Name of Agency

CONTINUATION AND EXPANDED REQUEST

Mississippi Ethics Commission
AGENCY

Program No. _____ of _____ Programs

SUMMARY OF ALL PROGRAMS

PROGRAM

	FY 2009 Actual				
	(1) General	(2) State Support Special	(3) Federal	(4) Other Special	(5) Total
Salaries, Wages, Fringe	461,767				461,767
Travel	13,890				13,890
Contractual Services	64,404				64,404
Commodities	9,551				9,551
Other Than Equipment					
Equipment	7,264				7,264
Vehicles	15,800				15,800
Wireless Comm. Devs.	250				250
Subsidies, Loans & Grants					
Total	572,926				572,926
No. of Positions (FTE)	8.00				8.00

	FY 2010 Estimate				
	(6) General	(7) State Support Special	(8) Federal	(9) Other Special	(10) Total
Salaries, Wages, Fringe	496,272				496,272
Travel	10,000				10,000
Contractual Services	81,140	54,520			135,660
Commodities	9,000				9,000
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	596,412	54,520			650,932
No. of Positions (FTE)	8.00				8.00

	FY 2011 Increase/Decrease for Continuation				
	(11) General	(12) State Support Special	(13) Federal	(14) Other Special	(15) Total
Salaries, Wages, Fringe	100,000				100,000
Travel	1,500				1,500
Contractual Services	9,861	(54,520)			(44,659)
Commodities	3,230				3,230
Other Than Equipment					
Equipment					
Vehicles	10,000				10,000
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	124,591	(54,520)			70,071
No. of Positions (FTE)	1.00				1.00

Note: FY2011 Total Request = FY2010 Estimated + FY2011 Incr(Decr) for Continuation + FY2011 Expansion/Reduction of Existing Activities + FY2011 New Activities.

CONTINUATION AND EXPANDED REQUEST

Mississippi Ethics Commission
AGENCY

Program No. _____ of 1 Programs

SUMMARY OF ALL PROGRAMS

PROGRAM

	FY 2011 Expansion/Reduction of Existing Activities				
	(16) General	(17) State Support Special	(18) Federal	(19) Other Special	(20) Total
Salaries, Wages, Fringe					
Travel					
Contractual Services					
Commodities					
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total					
No. of Positions (FTE)					

	FY 2011 New Activities				
	(21) General	(22) State Support Special	(23) Federal	(24) Other Special	(25) Total
Salaries, Wages, Fringe					
Travel					
Contractual Services					
Commodities					
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total					
No. of Positions (FTE)					

	FY 2011 Total Request				
	(26) General	(27) State Support Special	(28) Federal	(29) Other Special	(30) Total
Salaries, Wages, Fringe	596,272				596,272
Travel	11,500				11,500
Contractual Services	91,001				91,001
Commodities	12,230				12,230
Other Than Equipment					
Equipment					
Vehicles	10,000				10,000
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	721,003				721,003
No. of Positions (FTE)	9.00				9.00

Note: FY2011 Total Request = FY2010 Estimated + FY2011 Incr(Decr) for Continuation + FY2011 Expansion/Reduction of Existing Activities + FY2011 New Activities.

**SUMMARY OF PROGRAMS
FORM MBR-1-03sum**

Mississippi Ethics Commission
Agency Name

FUNDING REQUESTED FISCAL YEAR 2011

PROGRAM	GENERAL	ST.SUPP.SPECIAL	FEDERAL	OTHER SPECIAL	TOTAL
1. OVERSIGHT OF PUBLIC OFFICIALS	721,003				721,003
SUMMARY OF ALL PROGRAMS	721,003				721,003

CONTINUATION AND EXPANDED REQUEST

Mississippi Ethics Commission

Program No. 1 of 1 Programs

AGENCY

OVERSIGHT OF PUBLIC OFFICIALS
PROGRAM

	FY 2009 Actual				
	(1) General	(2) State Support Special	(3) Federal	(4) Other Special	(5) Total
Salaries, Wages, Fringe	461,767				461,767
Travel	13,890				13,890
Contractual Services	64,404				64,404
Commodities	9,551				9,551
Other Than Equipment					
Equipment	7,264				7,264
Vehicles	15,800				15,800
Wireless Comm. Devs.	250				250
Subsidies, Loans & Grants					
Total	572,926				572,926
No. of Positions (FTE)	8.00				8.00

	FY 2010 Estimate				
	(6) General	(7) State Support Special	(8) Federal	(9) Other Special	(10) Total
Salaries, Wages, Fringe	496,272				496,272
Travel	10,000				10,000
Contractual Services	81,140	54,520			135,660
Commodities	9,000				9,000
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	596,412	54,520			650,932
No. of Positions (FTE)	8.00				8.00

	FY 2011 Increase/Decrease for Continuation				
	(11) General	(12) State Support Special	(13) Federal	(14) Other Special	(15) Total
Salaries, Wages, Fringe	100,000				100,000
Travel	1,500				1,500
Contractual Services	9,861	(54,520)			(44,659)
Commodities	3,230				3,230
Other Than Equipment					
Equipment					
Vehicles	10,000				10,000
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	124,591	(54,520)			70,071
No. of Positions (FTE)	1.00				1.00

Note: FY2011 Total Request = FY2010 Estimated + FY2011 Incr(Decr) for Continuation + FY2011 Expansion/Reduction of Existing Activities + FY2011 New Activities.

CONTINUATION AND EXPANDED REQUEST

Mississippi Ethics Commission

Program No. 1 of 1 Programs

AGENCY

OVERSIGHT OF PUBLIC OFFICIALS
PROGRAM

	FY 2011 Expansion/Reduction of Existing Activities				
	(16) General	(17) State Support Special	(18) Federal	(19) Other Special	(20) Total
Salaries, Wages, Fringe					
Travel					
Contractual Services					
Commodities					
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total					
No. of Positions (FTE)					

	FY 2011 New Activities				
	(21) General	(22) State Support Special	(23) Federal	(24) Other Special	(25) Total
Salaries, Wages, Fringe					
Travel					
Contractual Services					
Commodities					
Other Than Equipment					
Equipment					
Vehicles					
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total					
No. of Positions (FTE)					

	FY 2011 Total Request				
	(26) General	(27) State Support Special	(28) Federal	(29) Other Special	(30) Total
Salaries, Wages, Fringe	596,272				596,272
Travel	11,500				11,500
Contractual Services	91,001				91,001
Commodities	12,230				12,230
Other Than Equipment					
Equipment					
Vehicles	10,000				10,000
Wireless Comm. Devs.					
Subsidies, Loans & Grants					
Total	721,003				721,003
No. of Positions (FTE)	9.00				9.00

Note: FY2011 Total Request = FY2010 Estimated + FY2011 Incr(Decr) for Continuation + FY2011 Expansion/Reduction of Existing Activities + FY2011 New Activities.

PROGRAM DECISION UNITS

Mississippi Ethics Commission

1 - OVERSIGHT OF PUBLIC OFFICIALS

AGENCY

PROGRAM NAME

	A	B	C	D	E	F	G	H
	FY 2010 Appropriation	Escalations By DFA	Non-Recurring Items	Fy 2011	Total Funding Change	FY 2011 Total Request		
EXPENDITURES:								
SALARIES	496,272			100,000	100,000	596,272		
GENERAL	496,272			100,000	100,000	596,272		
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
TRAVEL	10,000			1,500	1,500	11,500		
GENERAL	10,000			1,500	1,500	11,500		
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
CONTRACTUAL	135,660		(54,520)	9,861	(44,659)	91,001		
GENERAL	81,140			9,861	9,861	91,001		
ST.SUP.SPECIAL	54,520		(54,520)		(54,520)			
FEDERAL								
OTHER								
COMMODITIES	9,000			3,230	3,230	12,230		
GENERAL	9,000			3,230	3,230	12,230		
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
CAPITAL-OTE								
GENERAL								
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
EQUIPMENT								
GENERAL								
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
VEHICLES				10,000	10,000	10,000		
GENERAL				10,000	10,000	10,000		
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
WIRELESS DEV								
GENERAL								
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
SUBSIDIES								
GENERAL								
ST.SUP.SPECIAL								
FEDERAL								
OTHER								
TOTAL	650,932		(54,520)	124,591	70,071	721,003		

FUNDING:								
GENERAL FUNDS	596,412			124,591	124,591	721,003		
ST.SUP.SPCL.FUNDS	54,520		(54,520)		(54,520)			
FEDERAL FUNDS								
OTHER SP.FUNDS								
TOTAL	650,932		(54,520)	124,591	70,071	721,003		

POSITIONS:								
GENERAL FTE	8.00			1.00	1.00	9.00		
ST.SUP.SPCL.FTE								
FEDERAL FTE								
OTHER SP FTE								
TOTAL FTE	8.00			1.00	1.00	9.00		

PRIORITY LEVEL:								
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PROGRAM NARRATIVE

Program Data Collected in Accordance with the
Mississippi Performance Budget and Strategic Planning Act of 1994
(To Accompany Form MBR-1-03)

Mississippi Ethics Commission

1 - OVERSIGHT OF PUBLIC OFFICIALS

AGENCY NAME

PROGRAM NAME

I. Program Description:

The Mississippi Ethics Commission administers, interprets and enforces the Ethics in Government Law, Section 25-4-1, et seq., Miss. Code of 1972, which regulates conflicts of interest among public officials and public employees at all levels of state and local government. The Ethics Commission also has responsibility for enforcing the Open Meetings Act and the Public Records Act.

II. Program Objective:

The Ethics Commission's objective is to promote ethics and openness in state and local government by issuing opinions, conducting investigations, providing educational presentations, holding enforcement hearings and managing public officials' statements of economic interest.

III. Current program activities as supported by the funding in Columns 6-15 (FY 10 Estimated & FY 11 Increase/Decrease for continuations) of MBR-1-03 and designated Budget Unit Decisions columns of MBR-1-03-A:

(D) FY 2011:

Requested increases are explained in detail in the Narrative Budget Request.

PROGRAM PERFORMANCE INDICATORS AND MEASURES
Program Data Collected in Accordance with the Mississippi Performance Budget and Strategic
Planning Act of 1994

Mississippi Ethics Commission

1 - OVERSIGHT OF PUBLIC OFFICIALS

AGENCY NAME

PROGRAM NAME

PROGRAM OUTPUTS: (This is the measure of the process necessary to carry out the goals and objectives of this program. This is the volume produced, i.e., how many people served, how many documents generated.)

	FY 2009 <u>ACTUAL</u>	FY 2010 <u>ESTIMATED</u>	FY 2011 <u>PROJECTED</u>
1 Advisory Opinions Issued	120.00	150.00	160.00
2 Investigations Authorized	32.00	40.00	40.00
3 Disclosures Maintained	5,926.00	6,300.00	6,000.00
4 Informal Assistance Provided	1,350.00	1,500.00	1,600.00

PROGRAM EFFICIENCIES: (This is the measure of the cost, unit cost or productivity associated with a given outcome or output. This measure indicates linkage between services and funding, i.e., cost per investigation, cost per student or number of days to complete investigation.)

	FY 2009 <u>ACTUAL</u>	FY 2010 <u>ESTIMATED</u>	FY 2011 <u>PROJECTED</u>
1 Advisory opinions drafted per day	0.50	0.63	0.67
2 Average days to complete investigation	7.50	6.00	6.00
3 Average hours to process a disclosure	3.09	3.13	3.13
4 Informal assistance provided per day	5.63	6.25	6.67

PROGRAM OUTCOMES: (This is the measure of the quality or effectiveness of the services provided by this program. This measure provides an assessment of the actual impact or public benefit of your agency's actions. This is the results produced, i.e., increased customer satisfaction by x% within a 12-month period, reduce the number of traffic fatalities due to drunk drivers within a 12-month period.)

	FY 2009 <u>ACTUAL</u>	FY 2010 <u>ESTIMATED</u>	FY 2011 <u>PROJECTED</u>
1 Increase opinion requests through assistance (by percentage)	5.00	5.00	5.00
2 Reduce backlog of pending investigations (reduction)	5.00	10.00	10.00
3 Reduce disclosure delinquency rate (by percentage)	5.00	5.00	5.00
4 Increase number of training seminars	36.00	40.00	40.00

PROGRAM 3% GENERAL FUND REDUCTION AND NARRATIVE EXPLANATION

Mississippi Ethics Commission

	Fiscal Year 2010 Funding			FY 2010 PERCENT REDUCED
	Total Funds	Reduced Amount	Reduced Funding Amount	
Program Name: (1) OVERSIGHT OF PUBLIC OFFICIALS				
GENERAL	596,412	(17,892)	578,520	(2.99%)
ST.SUPPORT SPECIAL	54,520		54,520	
FEDERAL				
OTHER SPECIAL				
TOTAL	650,932	(17,892)	633,040	
Narrative Explanation:				
The Ethics Commission has planned for a 5% reduction in FY 2010 funds by leaving the position of assistant director and counsel vacant for approximately 2 1/2 months during FY 2010 and by restraining spending in other major objects of expenditure. These austere measures have strained the agency's ability to fulfill its statutory mandates.				
SUMMARY OF ALL PROGRAMS				
GENERAL	596,412	(17,892)	578,520	(2.99%)
ST.SUPPORT SPECIAL	54,520		54,520	
FEDERAL				
OTHER SPECIAL				
TOTAL	650,932	(17,892)	633,040	

Mississippi Ethics Commission MEMBERS

Mississippi Ethics Commission

Agency

A. Explain Rate and manner in which board members are reimbursed:

Per diem of \$40.00 per meeting plus travel expense reimbursement when incurred

B. Estimated number of meetings FY2010

12

C.	Names of Members	City, Town, Residence	Appointed By	Date of Appointment	Length of Term
1.	Michael Cooper	Madison	Chief Justice	11/15/07	4 years
2.	Ben Stone, Chairman	Gulfport	Lt. Governor	11/15/05	4 years
3.	Paul Breazeale	Jackson	Chief Justice	11/15/08	4 years
4.	William Wheeler	Oxford	Speaker	11/15/05	4 years
5.	Sue H. Stedman	Natchez	Governor	11/15/08	4 years
6.	Walley R. Naylor, Vice Chair	Madison	Governor	11/15/03	4 years
7.	Robert Clark	Pickens	Speaker	11/15/06	4 years
8.	Billy R. Powell, Secretary	Madison	Lt. Governor	11/15/06	4 years

Identify Statutory Authority (Code Section or Executive Order Number)*

Sections 25-4-1 through 25-4-119, Section 25-41-15 and Section 25-61-13, Miss. Code of 1972.

*If Executive Order, please attach copy.

**SCHEDULE B
CONTRACTUAL SERVICES**

Mississippi Ethics Commission

Name of Agency

MINOR OBJECT OF EXPENDITURE	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011
A. TUITION, REWARDS & AWARDS (61010-61099)			
61020 Employee Training			
61030 Travel Related Registration		480	480
TOTAL (A)		480	480
B. TRANSPORTATION & UTILITIES (61100-61299)			
61110 Postage, Box Rent, etc.	293	278	300
61190 Transportation of Goods (61180-61190)	271		
TOTAL (B)	564	278	300
D. RENTS (61400-61499)			
61440 Office Equipment	621	621	621
61470 Capitol Facilities - Rental	42,264	42,264	60,000
61490 Other Rental	120	120	120
TOTAL (D)	43,005	43,005	60,741
E. REPAIRS & SERVICES (61500-61599)			
61550 Repair/Service Off. Equip/Furn.	1,550	1,688	1,900
Repairs to Motor Vehicles	1,461	1,500	1,800
TOTAL (E)	3,011	3,188	3,700
F. FEES, PROFESSIONAL & OTHER SERVICES (61600-61699)			
61615 SAAS Fees - DFA	364	422	422
61616 MMRS Fees	2,149	2,020	2,464
61631 Legal (61630-61636)	3,250	3,250	3,250
61651 Personal Service Contracts - Other Fees (hearing ofc.)		1,000	3,000
61690 Other Fees & Services	149	245	415
61660 Court Cost and Court Reporter		375	
61661 Recording and Notary Fees		100	100
TOTAL (F)	5,912	7,412	9,651
G. OTHER CONTRACTUAL SERVICES (61700-61899)			
61700 Liability Insurance Pool Contributions (Tort Claims)			
61710 Insurance & Fidelity Bonds	86	86	86
61715 Insurance Computer Equipment ITS	38	38	38
61720 Membership Dues	1,065	1,065	1,065
Vehicle Casualty Insurance		500	500
TOTAL (G)	1,189	1,689	1,689
H. INFORMATION TECHNOLOGY (61900-61990)			
61905 IS Professional Fees - ITS		68,180	1,500
61939 Cellular Usage Time - Outside Vendor	492	140	140
6191X IS Training/Education (61914-61915)	22	200	600
61917 Service Charges to State Data Center	3,675	3,804	4,000
61921 Software Acquisition and Installation	70	70	300
61925 Long Distance Charges - ITS	331	480	500
61962 Maintenance/Repair of Communications Systems			200
61923 Basic Telephone Monthly - ITS	4,457	4,862	5,200
61928 Pub Network Acc Chrgs - Outside Vendor	1,676	1,872	2,000
TOTAL (H)	10,723	79,608	14,440

SCHEDULE B
CONTRACTUAL SERVICES CONTINUED

Mississippi Ethics Commission

Name of Agency

MINOR OBJECT OF EXPENDITURE	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011
GRAND TOTAL <i>(Enter on Line I-B of Form MBR-1)</i>	64,404	135,660	91,001
FUNDING SUMMARY:			
GENERAL FUNDS	64,404	81,140	91,001
STATE SUPPORT SPECIAL FUNDS		54,520	
FEDERAL FUNDS			
OTHER SPECIAL FUNDS			
TOTAL FUNDS	64,404	135,660	91,001

**SCHEDULE C
COMMODITIES**

Mississippi Ethics Commission
Name of Agency _____

MINOR OBJECT OF EXPENDITURE	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011
B. PRINTING & OFFICE SUPPLIES & MATERIALS (62100-62199)			
62110 Printing, Binding, Padding	622	1,000	1,500
62130 Office Supplies & Materials	1,614	300	1,500
62150 Maps, Manuals, Library Books, Films	78	129	150
62160 Office Equipment	2,120		500
Total (B)	4,434	1,429	3,650
C. EQUIPMENT REPAIR PARTS, SUPPLIES & ACCES. (62200-62299)			
62210 - Fuels - Gasoline	2,694	4,531	5,500
62240 - Tires and Tubes - Auto	401	400	400
62251 - Expendable Repair and Replacement Parts - Vehicle Re	55		
Total (C)	3,150	4,931	5,900
E. OTHER SUPPLIES & MATERIALS (62400-62999)			
62590 Other Supplies & Materials	287	240	280
62475 Food For Business Meetings	1,630	2,400	2,400
62595 Other Equipment (less than \$500)	50		
Total (E)	1,967	2,640	2,680
GRAND TOTAL (A, B, C, D & E) <i>(Enter on Line I-C of Form MBR-1)</i>	9,551	9,000	12,230
FUNDING SUMMARY:			
GENERAL FUNDS	9,551	9,000	12,230
STATE SUPPORT SPECIAL FUNDS			
FEDERAL FUNDS			
OTHER SPECIAL FUNDS			
TOTAL FUNDS	9,551	9,000	12,230

**SCHEDULE D-1
CAPITAL OUTLAY
OTHER THAN EQUIPMENT**

Mississippi Ethics Commission
Name of Agency _____

MINOR OBJECT OF EXPENDITURE	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011
B. BUILDINGS & IMPROVEMENTS (63200-63299)			
63250 Buildings - Purchased, Constructed, Remodeled			
TOTAL (B)			
GRAND TOTAL <i>(Enter on Line I-D-1 of Form MBR-1)</i>			
FUNDING SUMMARY:			
GENERAL FUNDS			
STATE SUPPORT SPECIAL FUNDS			
FEDERAL FUNDS			
OTHER SPECIAL FUNDS			
TOTAL FUNDS			

**SCHEDULE D-2
CAPITAL OUTLAY EQUIPMENT**

Mississippi Ethics Commission

Name of Agency _____

EQUIPMENT BY ITEM	Act. FY Ending June 30, 2009		Est. FY Ending June 30, 2010		Req. FY Ending June 30, 2011		
	No. of Units	Total Cost	No. of Units	Total Cost	No. of Units	Cost Per Unit	Total Cost
A. VEHICLES (see form MBR-1-D-3)							
B. ROAD MACHINERY, FARM & OTHER EQUIPMENT							
63320 Road Machinery							
TOTAL (B)							
C. OFFICE MACHINES, FURNITURE, FIXTURES, EQUIP.							
Mainframe Systems Equipment (R)	8	6,934					
Video Conferencing Equipment (N)	1	330					
TOTAL (C)		7,264					
GRAND TOTAL <i>(Enter on Line I-D-2 of Form MBR-1)</i>		7,264					
FUNDING SUMMARY:							
GENERAL FUNDS		7,264					
STATE SUPPORT SPECIAL FUNDS							
FEDERAL FUNDS							
OTHER SPECIAL FUNDS							
TOTAL FUNDS		7,264					

**SCHEDULE D-3
PASSENGER/WORK VEHICLES**

Mississippi Ethics Commission

Name of Agency _____

MINOR OBJECT OF EXPENDITURE	Vehicle Inventory	FY Ending June 30, 2009		FY Ending June 30, 2010		FY Ending June 30, 2011	
	June 30, 2009	No. of Vehicles	Actual Cost	No. of Vehicles	Estimated Cost	No. of Vehicles	Requested Cost
A. PASSENGER & WORK VEHICLES (63310, 63390-63400)							
63310 Automobile, Compact Sedan (AU CS)							
63310 Automobile, Full Size Sedan (AU FS)							
63310 Automobile, Mid Size Sedan (AU MS)	2	2	15,800	2		1	10,000
63310 Automobile, Mid Size Station Wagon (AU MW)							
63310 Automobile Utility (AU UT)							
63390 Truck, Carry-All (TK CA)							
63390 Truck, Compact Pickup (TK CU)							
63390 Truck, Dump Bed (TK DU)							
63390 Truck, Medium Duty 2.5 Ton (TK MD)							
63390 Truck, Mid Size Pickup (TK MU)							
63391 Truck, Heavy Duty 5 Ton (TK HD)							
63391 Truck, Heavy Duty Pickup (TK HU)							
63392 Sport Utility Vehicle (TK SU)							
63393 Van, Cargo (VN CD)							
63393 Van, Full Size (VN FV)							
63393 Van, Mid Size (VN MV)							
63400 Other Vehicles							
TOTAL (A)	2	2	15,800	2		1	10,000
B. BETTERMENTS OR ACCESSORIES FOR VEHICLES (63395)							
63395 Betterments or Accessories for Vehicles							
TOTAL (B)							
GRAND TOTAL <i>(Enter on Line I-D-3 of Form MBR-1)</i>			15,800				10,000
FUNDING SUMMARY:							
GENERAL FUNDS			15,800				10,000
STATE SUPPORT SPECIAL FUNDS							
FEDERAL FUNDS							
OTHER SPECIAL FUNDS							
TOTAL FUNDS			15,800				10,000

**SCHEDULE D-4
WIRELESS COMMUNICATION DEVICES**

Mississippi Ethics Commission
Name of Agency _____

MINOR OBJECT OF EXPENDITURE	Device Inventory June 30, 2009	Act FY Ending June 30, 2009		Est FY Ending June 30, 2010		Req FY Ending June 30, 2011	
		No. of Devices	Actual Cost	No. of Devices	Estimated Cost	No. of Devices	Requested Cost
A. CELLULAR PHONES (63435)							
63435 Cellular Phones							
Total (A)							
B. PAGERS (63434)							
63434 Pagers, Paging Equipment							
Total (B)							
C. WIRELESS PERSONAL DIGITAL ASSISTANTS (63435)							
63435 Wireless PDAs, Blackberry, etc	2	1	250				
Total (C)	2	1	250				
GRAND TOTAL <i>(Enter on Line 1-D-4 of Form MBR-1)</i>			250				
FUNDING SUMMARY:							
GENERAL FUNDS			250				
STATE SUPPORT SPECIAL FUNDS							
FEDERAL FUNDS							
OTHER SPECIAL FUNDS							
TOTAL FUNDS			250				

**SCHEDULE E
SUBSIDIES, LOANS & GRANT**

Mississippi Ethics Commission
Name of Agency _____

MINOR OBJECT OF EXPENDITURE	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011
A. SCHOOL GRANTS TO COUNTIES & MUNICIPALITIES (64000-64599)			
TOTAL (A)			
B. GRANTS TO I.H.L. & OTHER POLITICAL SUBDIVISIONS (64600-64699)			
TOTAL (B)			
C. GRANTS TO NON-GOVERNMENT INSTNS & INDS (64700-64999)			
TOTAL (C)			
D. DEBT SERVICE & JUDGEMENTS (65000-65399)			
TOTAL (D)			
E. OTHER (66000-89999)			
TOTAL (E)			
GRAND TOTAL <i>(Enter on Line I-E of Form MBR-1)</i>			
FUNDING SUMMARY:			
GENERAL FUNDS			
STATE SUPPORT SPECIAL FUNDS			
FEDERAL FUNDS			
OTHER SPECIAL FUNDS			
TOTAL FUNDS			

**NARRATIVE
2011 BUDGET REQUEST**

Mississippi Ethics Commission
Name of Agency

*** All amounts for FY 2009 reflect mid-year budget reductions imposed by DFA and do not necessarily reflect the amount originally appropriated.

1. Salaries

During FY 2009 the Ethics Commission began implementing new roles under the Public Records Act and Open Meetings Act. These added responsibilities have brought an increased work load. The Commission's budget request for FY 2011 contains funding for an additional attorney position.

In FY 2009 the Commission's assistant director resigned and returned to private sector law practice. In order to absorb budget cuts imposed in FY 2009 and expected in FY 2010 without terminating staff, the position of assistant director was left vacant for approximately eight (8) months. The budget request for FY 2011 anticipates all positions will be filled for the entire fiscal year. No salaries have changed since FY 2008.

2. Travel

The Ethics Commission is actually REDUCING its request for travel funding due to the purchase of two (2) used sedans in August 2008. Previously, the Commission paid mileage reimbursement for all travel. During FY 2009 the savings which resulted from the vehicle purchase paid for the purchase price and operating cost of the vehicles AND saved approximately \$4,000 in General Fund appropriations.

3. Contractual Services

The Contractual Services category for FY 2010 contains a one-time expenditure of \$54,520 which will be paid to the Department of Information Technology Services for implementation of a statutorily mandated system for electronically filing Statements of Economic Interest.

The increase in Contractual Services between FY 2009 to FY 2011 is primarily attributable to an anticipated rent increase resulting from the sale of the Commission's current office. Pursuant to Senate Bill 2887 enacted during the 2008 Regular Session, the Legislature authorized the Department of Finance and Administration to sell the building in which the Commission currently resides. While the 2011 budget request includes an increase for minor object code 61470, Capitol Facilities - Rental, the Commission does not yet know where it will relocate or how much space will ultimately be rented to the Commission. Staff for the Commission and the Department of Finance and Administration have discussed the space needs of the Commission and availability of adequate state owned space.

4. Commodities

The Ethics Commission requests a modest increase in the Commodities category to cover rising fuel prices.

5. Vehicles

As discussed above the purchase of two (2) used sedans has resulted in dramatic savings in the Travel category. However, usage trends indicate one of the Commission's vehicles will need replacing in FY 2011, according to replacement models recommended by the Department of Finance and Administration, Office of Fleet Management. The vehicles currently in inventory were purchased by DFA - Office of Surplus Property on behalf of the Ethics Commission at an auction of surplus federal government vehicles. We anticipate using the same method to purchase a similar replacement vehicle. Due consideration will be given to purchase price, fuel consumption and maintenance costs, with a goal of providing the greatest utility at the lowest cost to the taxpayer.

**OUT-OF-STATE TRAVEL
FISCAL YEAR 2009**

Mississippi Ethics Commission

Agency Name

Note: All expenditures recorded on this form must be totaled and said total must agree with the out-of-state travel amount indicated for FY 2009 on Form Mbr-1, line I.A.2.b.

Employee's Name	Destination	Purpose	Travel Cost	Funding Source
Tom Hood	MS Bar Conv., Destin, FL	Speech to Government Attys. Section	405	general
Total Out of State Travel Cost			\$405	

FEES, PROFESSIONAL AND OTHER SERVICES
(EXPENDITURE CODES 61600-61699)

Mississippi Ethics Commission

Name of Agency

TYPE OF FEE AND NAME OF VENDOR	Retired w/ PERS	(1) Actual Expenses FY Ending June 30, 2009	(2) Estimated Expenses FY Ending June 30, 2010	(3) Requested for FY Ending June 30, 2011	Fund Num.
61615 SAAS Fees - DFA					
61615 SAAS Fees - DFA / SAAS Fees		364	422	422	
<i>Comp. Rate: Usage Rate</i>					
TOTAL 61615 SAAS Fees - DFA		364	422	422	
61616 MMRS Fees					
61616 MMRS Fees / Finance Services(SPARHS)		2,149	2,020	2,464	
<i>Comp. Rate: Usage Rate</i>					
TOTAL 61616 MMRS Fees		2,149	2,020	2,464	
61631 Legal (61630-61636)					
61631 Legal / Legal Services		3,250	3,250	3,250	
<i>Comp. Rate: \$1,512.50 semiannually</i>					
TOTAL 61631 Legal (61630-61636)		3,250	3,250	3,250	
61651 Personal Service Contracts - Other Fees (hearing ofc.)					
Hearing Officer in Ethics Cases / administrative hearing officer			1,000	3,000	general
<i>Comp. Rate: \$50 per hour</i>					
TOTAL 61651 Personal Service Contracts - Other Fees (hearing ofc.)			1,000	3,000	
61690 Other Fees & Services					
61690 Other Fees & Services / Shredder Fee		46	95	115	
<i>Comp. Rate: per visit</i>					
61690 Other Fees & Services / Background Investigation Fee			150	300	
<i>Comp. Rate: \$150 per investigation</i>					
61690 Other Fees & Services / Scanning Fee		103			
<i>Comp. Rate: \$.08 per page</i>					
TOTAL 61690 Other Fees & Services		149	245	415	
61660 Court Cost and Court Reporter					
61660 Court Cost and Court Reporters / Court Reporter			375		
<i>Comp. Rate: Filing Fee and Services</i>					
TOTAL 61660 Court Cost and Court Reporter			375		
61661 Recording and Notary Fees					
61661 Recording and Notary Fees / Notary			100	100	
<i>Comp. Rate: \$100.00 fee per renewal</i>					
TOTAL 61661 Recording and Notary Fees			100	100	
GRAND TOTAL (61600-61699)		5,912	7,412	9,651	

VEHICLE PURCHASE DETAILS

Mississippi Ethics Commission

Name of Agency

Year	Model	Person(s) Assigned To	Vehicle Purpose/Use	FY2011 Req. Cost
Passenger Vehicles				
63310 Automobile, Mid Size Sedan (AU MS)				
2007	Chevrolet Malibu	Jimmie Jackson	investigations	10,000
TOTAL PASSENGER VEHICLES				10,000
TOTAL VEHICLE REQUEST				10,000

**VEHICLE INVENTORY
AS OF JUNE 30, 2009**

Mississippi Ethics Commission

Name of Agency

Veh. Type	Vehicle Descript.	Model Year	Model	Person(s) Assigned To	Purpose/Use	Tag Number	Mileage On 6-30-09	Average Miles per Year	Replacement Proposed	
									FY 2010	FY 2011
P	Oldsmobile	2003	Alero	Lynn Gunn	investigations and seminars	blind	35,139	13,000		
P	Ford	2005	Taurus	Jimmie Jackson	investigations	blind	72,586	35,000		Y

Vehicle Type = Passenger/Work

**PRIORITY OF DECISION UNITS
FISCAL YEAR 2011**

Mississippi Ethics Commission
 Agency Name

Program	Decision Unit	Object	Amount
Priority # 0			
Program # 1 : OVERSIGHT OF PUBLIC OFFICIALS			
FY 2011			
		Salaries	100,000
		Travel	1,500
		Contractual	9,861
		Commodities	3,230
		Vehicles	10,000
		Total	124,591
		General Funds	124,591

CAPITAL LEASES

Mississippi Ethics Commission
 Name of Agency

Vendor/ Item Leased	Original Date of Lease	Original Number of Months of Lease	Number of Months Remaining on 6-30-09	Last Payment Date	Interest Rate	Amount of Each Monthly/Yearly Payment			Total of Payments to be Made							
						Principal	Interest	Total	Actual FY 2009	Estimated FY 2010			Requested FY 2011			
										Principal	Interest	Total	Principal	Interest	Total	
/	//	0	0	//	.000											

Summary of 3% General Fund Program Reduction to FY2010 Appropriated Funding by Major Object

Mississippi Ethics Commission

Major Object	FY2010 GENERAL FUND REDUCTION	AFFECT ON FY2010 STATE SUPPORT SPECIAL FUNDS	AFFECT ON FY2010 FEDERAL FUNDS	AFFECT ON FY2010 OTHER SPECIAL FUNDS	TOTAL 3% REDUCTIONS
PERSONAL SERVICES	(17,892)				(17,892)
TRAVEL					
CONTRACTUAL SERVICES					
COMMODITIES					
OTHER THAN EQUIPMENT					
EQUIPMENT					
VEHICLES					
WIRELESS COMM. DEVICES					
SUBSIDIES, LOANS, ETC					
TOTALS	(17,892)				(17,892)