

MISSISSIPPI AUCTIONEER COMMISSION

FY 2020 – 2024

Comprehensive Mission Statement

The Mission of the Mississippi Auctioneer Commission includes regulatory authority and compliance with the Mississippi Code, Chapter 4, Title 73 and to form effective rules and regulations that protect the public while benefiting the auction industry in Mississippi. The Commission endeavors, through its actions, to continue a program of considerate and professional administration of duties and responsibilities mandated by the Mississippi Auctioneer Licensing Act.

Statement of Philosophy

The Mississippi Auctioneer Commission provides quality and appropriate regulatory services guided by the principles of:

- efficiency of board management operations;
- engaging auctioneer professionals and government bodies to insure understanding of the Act, Rules and Regulations and Standards of Practice; and
- inspecting, soliciting public input, and cooperating with law enforcement agencies and other governmental bodies to insure professional and ethical standards of conduct.

Statewide Goal 1

Primary responsibility is to protect the public by investigating any auctioneer or firm owner who has conducted him/herself in an unethical or unprofessional manner.

Benchmarks

- ✓ Inquiries for professional standards requested
- ✓ Availability and accessibility of information from website
- ✓ Number of auctioneer and firm applications processed

Statewide Goal 2

To provide easy accessibility of online renewals upon expiration of auctioneer and firm licenses

Benchmarks

- ✓ Number of individual auctioneer who apply for renewal upon expiration
- ✓ Number of firm licenses that apply for renewal upon expiration

Statewide Goal 2

To establish reciprocal agreements with all of the other license states to enable Mississippi auctioneers to participate in the auction industry throughout the U.S.

Benchmarks

- ✓ Number of existing reciprocal states maintained
- ✓ Number of new reciprocal agreements with other regulatory states

Overview of the Commission's 5-Year Strategic Plan

The Mississippi Auctioneer Commission has the following four main functions:

1. To review applicant files for all requirements of licensure, and to issue licenses to qualified auctioneers who wish to practice in Mississippi.
2. To renew auctioneer and firm licenses in Mississippi; ensuring that all auctioneers and firms have maintained a current and valid bond in the amount of \$10,000.00
3. To protect the public by investigating complaints against auctioneers and firms and working with other governmental agencies to eliminate unlicensed practice
5. Complete all required component conversions and continued maintenance and operation of the Licensing and Regulatory System (LARS) through the Department of Information and Technology.

To date the Mississippi Auctioneer Commission is successfully performing the above mentioned functions. Commission members plan to continue to seek new and improved ways to serve the population of the State of Mississippi in regard to ensuring the safe and ethical practice of auctioneers and auction firms in Mississippi.

Performance Effectiveness Objectives from fiscal year 2020 through fiscal year 2024

FY 2020

Licensure and Regulation

1. Continue to effectively promote compliance with the license Act
2. License all qualified individuals
3. Maintain awareness of the changes in the auction industry and inform the licensees of those changes
4. Increase the number of new licenses issued

Funding: Requested special funds for FY 2020: \$118,297.

FY 2021 to FY 2024

1. Continue to license resident and non-resident auctioneers and firm owners who are qualified for licensure in Mississippi
2. Continue to establish reciprocal agreements with all licensed states to enable Mississippi auctioneers to participate in the auction industry nationwide
3. Continue to effectively and efficiently execute the responsibilities of the Commission

Funding: Funds for FY 2021 - FY 2024 will be determined by anticipated increases and decreases in the cost of efficiently conducting Commission duties and business.

Significant External Factors Which May Affect Performance

- ✓ Number of auctioneers and firm owners renewing licenses
- ✓ Number of new in-state licenses issued
- ✓ Number of new out-of-state licenses issued
- ✓ Number of new firm licenses issued
- ✓ Number of new licenses applying for the written Mississippi examination
- ✓ Number of valid complaints filed against licensed auctioneers and firm owners requiring investigation and/or hearing
- ✓ Changes in the standards of practice of auctioneering; and
- ✓ Changes in state statutes

Objective with Outcome Measure

- The Board will continue to work with ITS and Mississippi Interactive to continue on-line projects for FY2020. Priorities include: Implement an on-line process for initial licensing of auctioneers and auctioneer firms to reduce the number of paper applications handled; and
- Improve reporting requirements to provide more accountability of on-line process through ITS.

Agency's Internal Management System utilized to Evaluate its Performance

The Mississippi Auctioneer Commission is comprised of five (5) licensed auctioneers known as "Commissioners". The Commission meets approximately every six (6) weeks to conduct necessary business. Special measures are taken to ensure that the needs of the auctioneers, firm owners and public are met and protected. A representative of the Attorney General's Office is present at each meeting to offer assistance in open discussions of each agenda item.

Further, the Commission maintains a set of written policies and protocols to govern the internal actions of the Commission. Additionally the Board implements the following controls:

- The Commission complies with the Administrative Procedures Act as established by the Mississippi Secretary of State, including the SOS requirements under the Administrative Procedures rule of July 1, 2011.
- The Commission complies with the Occupational Licensing Review Commission as of July 1, 2017.
- The Board complies with the Mandatory Risk Assessment recommendations as required of all Mississippi State agencies.
- The Board complies with the Internal Control Section of the Mississippi Agency Accounting Policy and Procedures Manual and will conduct an annual evaluation of all internal controls including the organization and management, administration of accounting and budgeting, purchasing and contracting, personnel and payroll, revenues and receivables, expenditures,

grant administration, and electronic data processing attachments. The findings of the evaluation shall provide reasonable assurance that the assets of the agency have been preserved, the duties have been segregated by function, and the transactions executed are in accordance with the laws of the State of Mississippi. Material weaknesses in any of its functions must be identified and compliance efforts will be implemented.

- The Commission complies with the Affordable Care Act reporting requirements regarding agency participation in the DFA central process.

The Commission is required by law to meet four (4) times annually; however currently meets about every six (6) weeks to conduct necessary business. Special care is taken to ensure that the needs of the general public are met and protected. Commission members work to correct any problems or deficiencies that impair or prohibit performing their duties entrusted to them by the Governor, their peers and the citizens of the State of Mississippi. The Commission has all records computerized to reduce search times and to offer easier access to information pertaining to applicants, licenses, and complaints filed with the Commission. The Commission also has a regularly-updated web-site to provide the public with easy access to copies of the Rules and Regulations, complaint forms, applications for licensure, telephone and postal contact information.

The Mississippi Auctioneer Commission has legal representation from the Attorney General's Office, Leyser Q. Hayes, and a managerial contract with Yvonne Laird, President of J-Bar and Associates, Inc., Debbie Fyke.

Non-general funds are used for the operation of the Commission.

Respectfully submitted,

s/ Yvonne Laird

Yvonne Laird
Executive Director
MSBMT

PROGRAM PERFORMANCE INDICATORS AND MEASURES

Program Data Collected in Accordance with the Mississippi Performance Budget and Strategic Planning Act of 1994

Mississippi Auctioneers Commission (828-00)

1 - Licensure and Regulation

Name of Agency

PROGRAM NAME

PROGRAM OUTPUTS: (This is the measure of the process necessary to carry on the goals and objectives of this program. This is the volume produced, i.e., how many people served, how many documents generated.)

	FY 2018 APPRO	FY 2018 ACTUAL	FY 2019 ESTIMATED	FY 2020 PROJECTED
1 Licensing Examinations Given	20	16	17	20
2 Licenses Issued	30	22	29	30
3 Handling of Complaints	2	5	5	5
4 Commission Meetings	6	6	9	9
5 Licensing Exams Passed	20	16	15	20
6 Licenses Renewed	0	0	553	0

PROGRAM EFFICIENCIES: (This is the measure of the cost, unit cost or productivity associated with a given outcome or output. This measure indicates linkage between services and funding, i.e., cost per investigation, cost per student or number of days to complete investigation.)

	FY 2018 APPRO	FY 2018 ACTUAL	FY 2019 ESTIMATED	FY 2020 PROJECTED
1 Licensure Examinations (cost per each examination given)	\$ 100	\$ 100	\$ 100	\$ 100
2 Licensed - New and Renewed (avg per in state)	\$ 300	\$ 300	\$ 300	\$ 300
3 Complaints (avg cost of each investigation)	\$ -	\$ -	\$ -	\$ -
4 Commission Meetings per Member per Meeting (avg	\$ 142	\$ 142	\$ 142	\$ 142

PROGRAM OUTCOMES: (This is the measure of the quality of effectiveness of the services provided by this program. This measure provides an assessment of the actual impact or public benefit of your agency's actions. This is the results produced, i.e., increased customer satisfaction by x% within a 12-month period, reduce the number of traffic fatalities due to drunk drivers within a 12-month period.

	FY 2018 APPRO	FY 2018 ACTUAL	FY 2019 ESTIMATED	FY 2020 PROJECTED
1 Compliance of Applicants and Licenses	100%	99%	100%	100%
2 Decrease in Consumer Complaints (Increase indicated with a "-")	50%	-40%	50%	50%

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- A. Explain rate and manner in which Commission members are reimbursed
Commissioners are paid a per diem of \$40.00 per meeting for their attendance and participation in accordance with Section 73-4-7(4).
Commissioners are reimbursed for their travel to and from Commission Meetings at a rate not to exceed \$0.545 in accordance with the DFA Travel Policy.

- B. Estimated number of meetings scheduled
9 (nine)

C.	Name of Commissioner	City	State	Appt By	Appt Date	Reapptment Request Date	Length of Term
	Jack Armstrong	Ellisville	MS	Gov. Bryant	6/30/2013	6/30/2018	5
	Gaston Barrett	Philadelphia	MS	Gov. Bryant	6/30/2014	6/30/2019	5
	Marshall Riddick	Drew	MS	Gov. Bryant	6/30/2012	6/30/2017	5
	Bert Singleton	Lucedale	MS	Gov. Bryant	6/30/2015	6/30/2020	5
	Benny Taylor	Grenada	MS	Gov. Bryant	6/30/2011	6/30/2016	5

NOTE: The Office of Governor Bryant has been contacted regarding appointments. Members serve until which time they have been reappointed or until new appointments are made.

Identify Statutory Authority (Code Section or Executive Order Number) *
Chapter 4, Title 73-4-7 MS. Code of 1972, as amended, Effective July 1, 1995.

* If Executive Order, Please attach copy.